Pseudorandom Generators from One-Way Functions via Computational Entropy

Salil Vadhan Harvard University

DIMACS Workshop on Complexity of Cryptographic Primitives and Assumptions
June 9, 2017

Thm [Hastad-Impagliazzo-Levin-Luby `90]:

OWF
$$f: \{0,1\}^n \to \{0,1\}^n$$

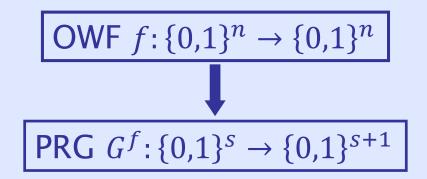
PRG $G^f: \{0,1\}^s \to \{0,1\}^{s+1}$

Efficiency measures:

- Seed length: $s = \tilde{O}(n^{10})$ [HILL89], $s = \tilde{O}(n^8)$ [H06].
- # queries to f: $q = \tilde{O}(n^9)$ [HILL89], $s = \tilde{O}(n^7)$ [H06].

[seed = q independent evaluation pts + hash functions]

Thm [Haitner-Reingold-Vadhan `10, Vadhan-Zheng `11]:



Efficiency measures:

- Seed length: $s = \tilde{O}(n^4)$ [HRV10], $s = \tilde{O}(n^3)$ [VZ11].
- # queries to f: $q = \tilde{O}(n^3)$ [HRV10,VZ11].

Outline

- OWFs & Cryptography
- Notions of pseudoentropy
- OWPs \Rightarrow PRGs
- OWFs ⇒ PRGs
- Open problems
- Inaccessible Entropy (time permitting)

One-Way Functions [DH76]

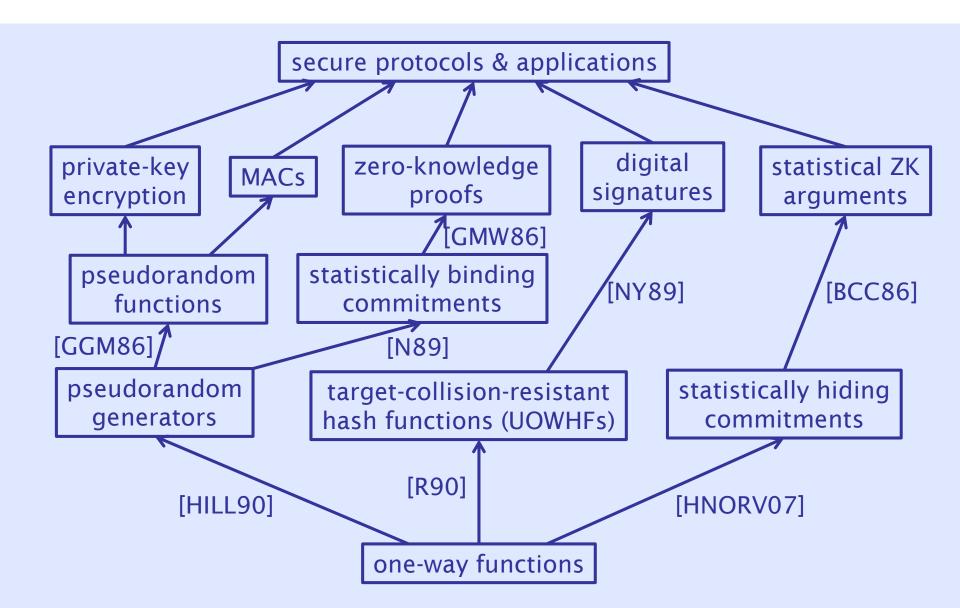


• Candidate: $f(x,y) = x \cdot y$

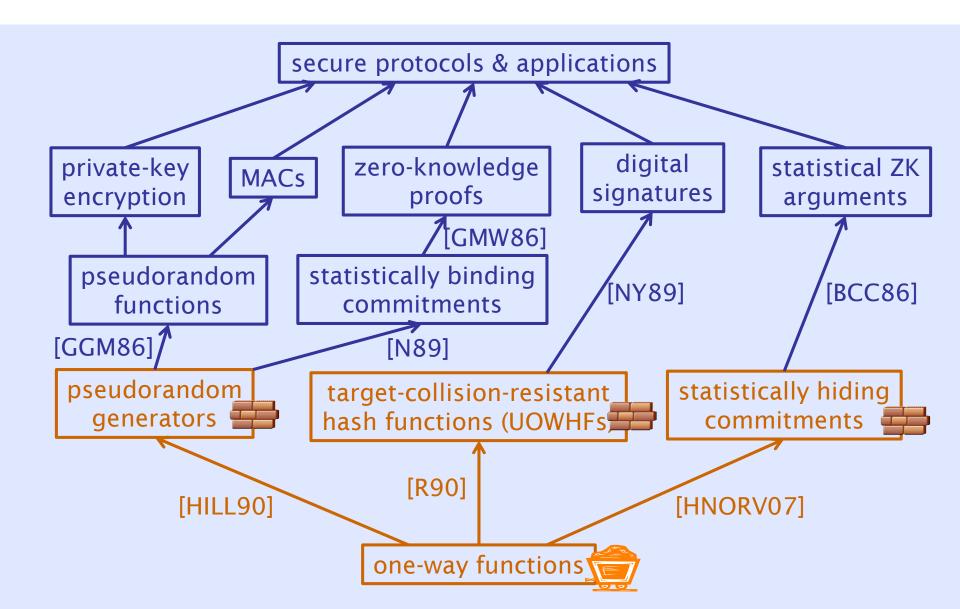
Formally, a **OWF** is $f: \{0,1\}^n \rightarrow \{0,1\}^n$ s.t.

- f poly-time computable
- \forall poly-time A $Pr[A(f(X)) \in f^{-1}(f(X))] = 1/n^{\omega(1)} \text{ for } X \leftarrow \{0,1\}^n$

OWFs & Cryptography



OWFs & Cryptography



Computational Entropy [Y82,HILL90,BSW03]

Question: How can we use the "raw hardness" of a OWF to build useful crypto primitives?

Answer [HILL90,R90,HRVW09,...]:

- Every crypto primitive amounts to some form of "computational entropy".
- One-way functions already have a little bit of "computational entropy".

Outline

- OWFs & Cryptography
- Notions of pseudoentropy
- OWPs \Rightarrow PRGs
- OWFs ⇒ PRGs
- Open problems
- Inaccessible Entropy (time permitting)

Entropy

Def: The Shannon entropy of r.v. X is

$$H(X) = E_{x \leftarrow X}[log(1/Pr[X=x])]$$

- H(X) = "Bits of randomness in X (on avg)"
- - Conditional Entropy: $H(X|Z) = E_{z \leftarrow Z}[H(X|_{Z=z})]$

(Conditional) Min-Entropy

Min-Entropy:

$$H_{\infty}(X) = \min_{x} \log \left(\frac{1}{\Pr[X=x]} \right) = \log \left(\frac{1}{\max_{x} \Pr[X=x]} \right)$$

Average Min-Entropy:

[Dodis-Ostrovsky-Reyzin-Smith `04]

$$H_{\infty}(X|Z) = \log\left(\frac{1}{E_{Z \leftarrow Z}\left[\max_{\chi} \Pr[X = \chi | Z = Z]\right]}\right)$$

Average Min-Entropy [DORS04]

$$H_{\infty}(X|Z) = \log \left(\frac{1}{E_{z \leftarrow Z} \left[\max_{x} \Pr[X = x | Z = Z] \right]} \right)$$

Properties:

Equals "guessing entropy":

$$- H_{\infty}(X|Z) = \log\left(\frac{1}{\max_{A} \Pr[A(Z) = X]}\right)$$

- Supports randomness extraction:
 - $(\operatorname{Ext}(X;R),R,Z) \approx_{\epsilon} (U_m,R,Z)$
 - With m as large as $H_{\infty}(X|Z) 2\log(1/\epsilon) O(1)$

(HILL) Pseudoentropy

Def [HILL90]: X has **pseudoentropy** \geq k iff there exists a random variable Y s.t.

- 1. $Y \equiv^c X$
- 2. $H(Y) \geq k$

Interesting when k > H(X), i.e.

Pseudoentropy > Real Entropy,

e.g. X = output of a PRG

(HILL) Pseudoentropy variants

Def [Hsiao-Lu-Reyzin `07]:

X has **pseudoentropy** \geq k given Z iff

∃ a random variable Y s.t.

- 1. $(Y,Z) \equiv^{c} (X,Z)$
- 2. $H(Y|Z) \geq k$

Pseudo-min-entropy: require $H_{\infty}(Y|Z) \ge k$.

- Supports randomness extraction: if Ext is efficiently computable, then
 - $(\operatorname{Ext}(X;R),R,Z) \equiv^{c} (U_{m},R,Z)$
 - With m as large as $k 2\log(1/\epsilon) O(1)$

Outline

- OWFs & Cryptography
- Notions of pseudoentropy
- OWPs ⇒ PRGs
- OWFs \Rightarrow PRGs
- Open problems
- Inaccessible Entropy (time permitting)

$OWPs \Rightarrow PRGs$

Thm [Blum-Micali `82, Yao `82, Goldreich-Levin `89]:

One-way Permutation
$$f: \{0,1\}^n \rightarrow \{0,1\}^n$$

PRG $G^f: \{0,1\}^s \rightarrow \{0,1\}^{s+1}$

Efficiency measures:

- Seed length: s = O(n) [GL89]
- # queries to f: q = 1 [GL89].

$OWPs \Rightarrow PRGs$

Thm [Blum-Micali `82, Yao `82, Goldreich-Levin `89]:

One-way Permutation
$$f: \{0,1\}^n \rightarrow \{0,1\}^n$$

PRG $G^f: \{0,1\}^s \rightarrow \{0,1\}^{s+1}$

Efficiency measures:

- Seed length: s = O(n) [GL89]
- # queries to f: q = 1 [GL89].

$OWPs \Rightarrow PRGs$

Modern interpretation of proof:

• For $X \leftarrow \{0,1\}^n$, given f(X), X has $\omega(\log n)$ guessing pseudoentropy [Hsiao-Lu-Reyzin `07]

 \forall poly-time A, $Pr[A(f(X))=X] \leq 1/n^{\omega(1)}$

Note: ordinary pseudoentropy is negligible!

- Supports randomness extraction: if Ext is a "reconstructive extractor" then:
 - $(\operatorname{Ext}(X;R),R,Z) \equiv^{c} (U_{m},R,Z)$
 - With m as large as $k-2\log(1/\epsilon)-O(1)$. [Goldreich-Levin`89, Trevisan`99, Ta-Shma-Zuckerman`01, ...]

Guessing pseudoentropy vs. HILL pseudoentropy

Can be very different in general (as we saw), but are equivalent for *short* random variables:

```
Thm [Impagliazzo `95,..., VZ `12, SGP `15]: Let (X,Z) \in \{0,1\}^{O(\log n)} \times \{0,1\}^n
```

Guessing pseudoentropy of X given Z

$$\geq k$$

Pseudo-min-entropy of X given Z is $\geq k$

Guessing pseudoentropy vs. HILL pseudoentropy

Can be very different in general (as we saw), but are equivalent for *short* random variables:

```
Thm [Impagliazzo `95,..., VZ `12, SGP `15]: Let (X,Z) \in \{0,1\}^{O(\log n)} \times \{0,1\}^n
```

Guessing pseudoentropy of X given Z

$$\geq k \pm negl(n)$$



Pseudo-min-entropy of X given Z is > k

Guessing pseudoentropy vs. HILL pseudoentropy

Can be very different in general (as we saw), but are equivalent for *short* random variables:

```
Thm [Impagliazzo `95,..., VZ `12, SGP `15]: Let (X,Z) \in \{0,1\}^{O(\log n)} \times \{0,1\}^n
```

Guessing pseudoentropy of X given Z

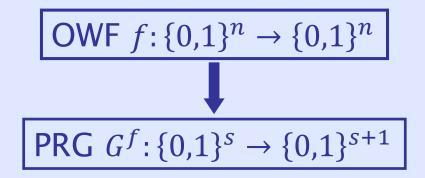
$$\geq k$$

Pseudo-min-entropy of X given Z is $\geq k$

Outline

- OWFs & Cryptography
- Notions of pseudoentropy
- OWPs \Rightarrow PRGs
- OWFs ⇒ PRGs
- Open problems
- Inaccessible Entropy (time permitting)

Thm [Haitner-Reingold-Vadhan `10, Vadhan-Zheng `11]:



Efficiency measures:

- Seed length: $s = \tilde{O}(n^4)$ [HRV10], $s = \tilde{O}(n^3)$ [VZ11].
- # Queries to f: $q = \tilde{O}(n^3)$ [HRV10,VZ11].

Pseudoentropy in a OWF

■ Still true: For $X \leftarrow \{0,1\}^n$, given f(X), X has $\omega(\log n)$ guessing pseudoentropy:

 \forall poly-time A, $Pr[A(f(X))=X] \leq 1/n^{\omega(1)}$

- But this may be for trivial informationtheoretic reasons, e.g. f(x)=first half of x.
- How to capture gap between information-theoretic and computational hardness in X given f(X)?

Pseudoentropy in a OWF

Lemma [vz11]: For $X \leftarrow \{0,1\}^n$, given f(X), X has $\omega(\log n)$ sampling relative entropy:

for every probabilistic poly-time A D($(f(X),X) || (f(X),A(f(X))) \ge \omega(\log n)$.

[D = relative entropy/KL Divergence]

cf. distributional one-way functions [Impagliazzo-Luby `89]: D→ statistical distance

Pseudoentropy in a OWF

Lemma [vz11]: For $X \leftarrow \{0,1\}^n$, given f(X), X has $\omega(\log n)$ sampling relative entropy:

for every probabilistic poly-time A D($(f(X),X) || (f(X),A(f(X))) \ge \omega(\log n)$.

Proof: Applying test
$$T(y,x) = \begin{cases} 1 \text{ if } y = f(x) \\ 0 \text{ otherwise} \end{cases}$$

 $D((f(X),X) || (f(X),A(f(X))))$
 $\geq D(\text{Bernoulli}(1) || \text{Bernoulli}(n^{-\omega(1)}))$
 $= \log(1/n^{-\omega(1)}) = \omega(\log n).$

Sampling Relative Entropy vs. Pseudoentropy

Thm [VZ11]: Let $(X,Z) \in \{0,1\}^{O(\log n)} \times \{0,1\}^n$.

X has sampling relative entropy $\geq k$ given Z, i.e. for every probabilistic poly-time A $D((Z,X)||(Z,A(Z)) \geq k$



The pseudoentropy of X given Z is $\geq H(X|Z)+k$

Problems & solutions:

- Our X is long → break into small pieces
- Can't extract from Shannon entropy → repetitions

Next-bit Pseudoentropy

■ Thm [HRV10,VZ11]: $(f(X),X_1,...,X_n)$ has "next-bit pseudoentropy" $\geq n+\omega(\log n)$.

 Note: (f(X),X) easily distinguishable from every random variable of entropy > n.

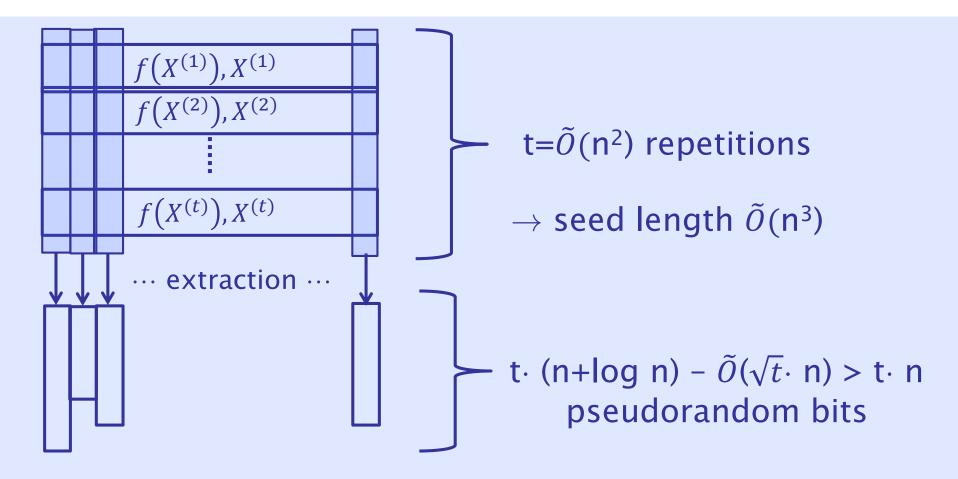
- Next-bit pseudoentropy: $\exists (Y_1,...,Y_n)$ s.t.
 - $(f(X), X_1, ..., X_i) \equiv^c (f(X), X_1, ..., X_{i-1}, Y_i)$
 - $H(f(X))+\sum_i H(Y_i|f(X),X_1,...,X_{i-1}) = n+\omega(\log n).$
 - cf. next-bit unpredictability [Blum-Micali `82]

Next-Bit Pseudoentropy from OWF: Proof Sketch

f a one-way function Given f(X), X has sampling relative entropy $\omega(\log n)$ Given $(f(X), X_1, ..., X_j), X_{j+1}$ has sampling relative entropy $\omega(\log n)/n$ thm Given $(f(X), X_1, ..., X_l), X_{l+1}$ has pseudoentropy \geq entropy $+\omega(\log n)/n$

 $(f(X),X_1,...,X_n)$ has next-bit pseudoentropy $\geq n+\omega(\log n)$

PRGs from OWF: 1st attempt



Difficulty: how much to extract from each column?

Unknown Entropy Thresholds

■ Problem: although we know $H(f(X))+\sum_i H(Y_i|f(X),X_1,...,X_{i-1}) \ge n+\omega(\log n)$, we don't know individual terms.

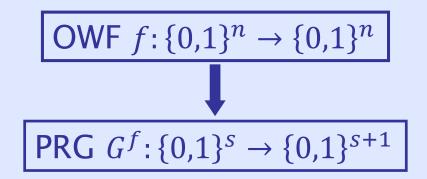
- Solution: "entropy equalization" [Haitner-Reingold-Vadhan-Wee `09, HRV`10]
 - costs a factor O(n) in # queries to OWF and in seed length.
 - cost in seed length can be eliminated with adaptive queries to OWF [VZ11].

Unknown Entropy Thresholds in Regular OWF

• Problem: Although we know $H_{\infty}(f(X)) + H_{\infty}(X|f(X)) = n$, we don't know the individual terms.

- Solution: "the randomized iterate" [Goldreich-Krawczyk-Luby `88, Haitner-Harnik-Reingold `07]:
 - Costs factor of O(n) in adaptive queries to OWF
 - Costs a factor of $O(\log n)$ in seed length
 - Cost in #queries is necessary for black-box reductions [Holenstein-Sinha `12]

Thm [Haitner-Reingold-Vadhan `10, Vadhan-Zheng `11]:



Efficiency measures:

- Seed length: $s = \tilde{O}(n^4)$ [HRV10], $s = \tilde{O}(n^3)$ [VZ11].
- # queries to f: $q = \tilde{O}(n^3)$ [HRV10,VZ11].

Outline

- OWFs & Cryptography
- Notions of pseudoentropy
- OWPs ⇒ PRGs
- OWFs \Rightarrow PRGs
- Open problems
- Inaccessible Entropy (time permitting)

• # queries to f: $q = \tilde{O}(n^2) \times O(n)$ [HRV10,VZ11].

Shannon entropy to min-entropy

Unknown entropy thresholds (necessary by [HS12])

• Seed length: $s = O(q \cdot n)$ [HRV10], $s = \widetilde{O}(n^2) \cdot n$ [VZ11].

Non-adaptive queries

Adaptive queries

• # queries to $f: q = \tilde{O}(n^2) \times O(n)$ [HRV10,VZ11].

Shannon entropy to min-entropy

Unknown entropy thresholds (necessary by [HS])

• Seed length: $s = O(q \cdot n)$ [HRV10], $s = \widetilde{O}(n^2) \cdot n$ [VZ11].

Non-adaptive queries

Adaptive queries

Open Problems:

- Find a better construction or better black-box lower bounds.
- There could be a construction with O(n) seed length and #queries.

• # queries to $f: q = \widetilde{O}(n^2) \times O(n)$ [HRV10,VZ11].

Shannon entropy to min-entropy

Unknown entropy thresholds (necessary by [HS12])

• Seed length: $s = O(q \cdot n)$ [HRV10], $s = \widetilde{O}(n^2) \cdot n$ [VZ11].

Non-adaptive queries

Adaptive queries

Why do we obtain Shannon entropy?

- Separating pseudoentropy of f(X) and X.
- Breaking X into blocks.

Converting Shannon Entropy to Min-Entropy

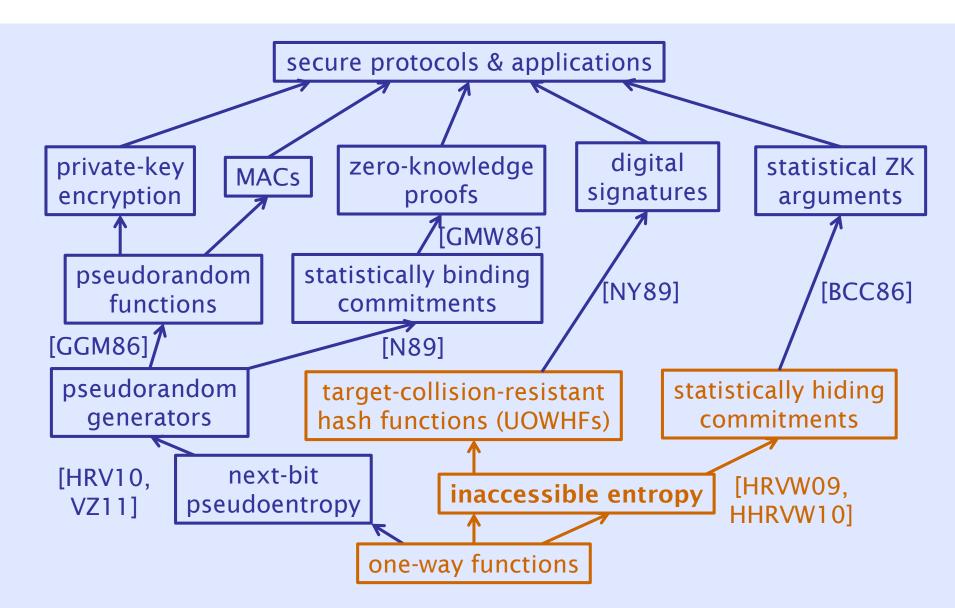
Thm [Goldreich-Sahai-Vadhan `99]: There is an oracle algorithm $A^{(\cdot)}: \{0,1\}^s \to \{0,1\}^m$ making $q = O(n^2)$ (independent) queries to an input oracle $X: \{0,1\}^n \to \{0,1\}^n$ such that:

1.
$$H(X(U_n)) \ge \frac{n}{2} + 1 \Rightarrow A^X(U_s)$$
 negl(n)—close to U_m

2.
$$H(X(U_n)) \le \frac{n}{2} \Rightarrow |\text{Support}(A^X(U_s))| \le \text{negl}(n) \cdot 2^m$$
.

Q: superlinear lower bounds on q or s?

OWFs & Cryptography



Outline

- OWFs & Cryptography
- Notions of pseudoentropy
- OWPs ⇒ PRGs
- OWFs \Rightarrow PRGs
- Open problems
- Inaccessible Entropy (time permitting)

Inaccessible Entropy [HRVW09,HHRVW10]

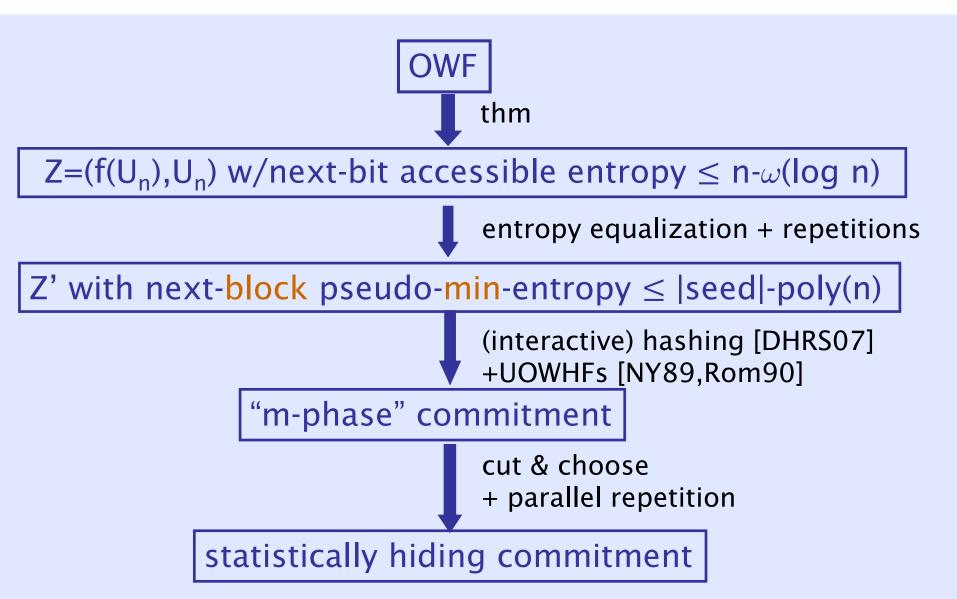
- Example: if h : $\{0,1\}^n \rightarrow \{0,1\}^{n-k}$ is collision-resistant and X← $\{0,1\}^n$, then
 - $H(X|h(X)) \ge k$, but
 - To an efficient algorithm, once it produces h(X), X is determined ⇒ "accessible entropy" 0.
 - Accessible entropy

 Real Entropy!

- Thm [HRVW09]: f a OWF \Rightarrow (f(X)₁,...,f(X)_n,X) has "next-bit accessible entropy" n- ω (log n).
 - cf. (f(X), $X_1,...,X_n$) next-bit pseudoentropy $n+\omega(\log n)$.

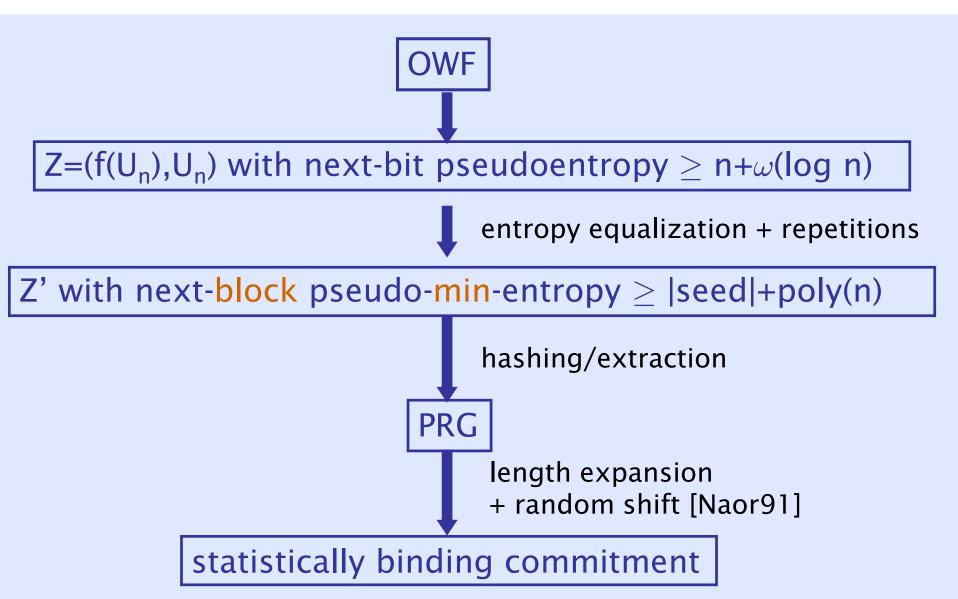
OWF ⇒ Statistically Hiding Commitments

[Haitner-Reingold-Vadhan-Wee `09]



OWF ⇒ Pseudorandom Generators

[Haitner-Reingold-Vadhan `10]



Conclusion

Complexity-based cryptography is possible because of gaps between real & computational entropy.

"Secrecy"
pseudoentropy > real entropy

"Unforgeability" accessible entropy < real entropy

Research Directions

- Formally unify inaccessible entropy and pseudoentropy.
- From OWF on n bits, can we construct:
 - PRGs with O(n) seed and/or # queries to f?
 - Statistically hiding commitments with O(n) communication and/or # queries to f? (n.b. $\widetilde{\Theta}(n)$ optimal for round complexity [Haitner-Harnik-Reingold-Segev `07, HRVW `09])
- More applications of inaccessible entropy in crypto or complexity (or mathematics?)